

# Key Information Document

HARVEST ESG CHINA BONDS FUND (THE "FUND"), A SUB-FUND OF HARVEST GLOBAL FUNDS (THE "COMPANY")



Class: Class R - ISIN: LU1554435708

## Purpose

This document provides you with key information about this investment product. It is not marketing material. The information is required by law to help you understand the nature, risks, costs, potential gains and losses of this product and to help you compare it with other products.

## Product

Name:	Harvest Global Funds - Harvest ESG China Bonds Fund - Class R
Product Manufacturer:	FundRock Management Company S.A.
ISIN:	LU1554435708
Website:	<a href="https://www.lemanikgroup.com/">https://www.lemanikgroup.com/</a>

Call +352 26 39 60 for more information.

The Commission de Surveillance du Secteur Financier (CSSF) is responsible for supervising FundRock Management Company S.A. in relation to this Key Information Document.

This PRIIP is authorised in Luxembourg.

FundRock Management Company S.A. is authorised in Luxembourg and regulated by the CSSF.

This key information document is accurate as at 2<sup>nd</sup> January 2023.

## What is this product?

### TYPE OF PRODUCT

The product is a sub-fund of Harvest Global Funds, an Undertaking for Collective Investment in Transferable Securities (UCITS) incorporated as a variable capital investment company (SICAV) under the laws of Luxembourg.

### TERM

The Fund is established for an unlimited duration. However the Board of Directors may decide to close this product under certain circumstances.

### OBJECTIVES

The Sub-Fund seeks to achieve its objective by investing, under normal market conditions, primarily in a portfolio of fixed income securities including but not limited to fixed rate or floating rate debt securities, convertible bonds, commercial papers, medium term notes, floating rate notes, bills, de-bentures and certificates of deposit, issued or guaranteed by governments, government agencies, gov-ernment-related bodies, supranational institutions, financial institutions and corporations domiciled in China (including Hong Kong) or whose predominant business will benefit from or is related to the economic growth in China. The business of an entity will be considered to benefit from or be related to the economic growth in China if:

- it is organised under the laws of, or has its principal office in China (including Hong Kong); or
- the securities or instruments that it issues are primarily traded in the China (including Hong Kong) market; or
- it has substantial business dealings with entities from, or derives substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from China (including Hong Kong); or
- the relevant securities or instruments are denominated in RMB.

The Sub-Fund may invest up to 20% of its net assets in contingent convertible securities.

The Sub-Fund may also invest up to 10% of its net assets in closed-ended real estate investment trusts (REITs).

Up to 10% of the Sub-Fund's net assets may from time to time also be invested in units of UCITS and/or other UCIs whose investment objectives are substantially similar to the Sub-Fund (including open-ended exchange traded funds ("ETFs") qualifying as UCITS or other UCIs).

The Sub-Fund will primarily invest in investment-grade securities and to a lesser extent in high yield-ing sub-investment grade securities. Investment grade securities are generally considered to be those rated Baa3 or short term P3 or better by Moody's Investor Services or BBB- or short term A-3 or better by Standard & Poor Corporation or Fitch, Inc or any equivalent rating by an internationally recognized credit rating agency. High yielding sub-investment grade securities are those se-curities rated lower than investment grade.

The Sub-Fund is actively managed. The Sub-Fund is managed in reference to the Bloomberg Barclays China Aggregate TR (Total Return) Index (the Benchmark) for the purpose of performance measure-ments, in particular risk limitations.

The investor may subscribe, convert and redeem units on each bank business day in Luxembourg.

Please refer to the Propectus for more information about the Sustainable Finance Disclosure Regulation ("SFDR") classification of the Fund.

Income of this unit class is reinvested (accumulated).

### INTENDED RETAIL INVESTORS

The product is suitable for retail investors with limited knowledge of the underlying financial instruments and no financial industry experience. The product is compatible with investors who may bear capital losses and who do not need capital guarantee. The product is compatible with clients looking for growing their capital and who wish to hold their investment over 5 years.

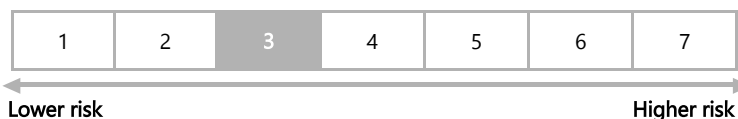
### OTHER INFORMATION

The Depositary is HSBC Continental Europe, Luxembourg.

Further information about the Company (including the current Prospectus and most recent annual report) is available in english, and information about the Fund and other share classes (including the latest prices of shares and translated versions of this document), are available free of charge on [www.fundsquare.net](http://www.fundsquare.net) or by making a written request to Lemanik Asset Management S.A., 106, route d'Arlon, L-8210 Mamer, Luxembourg or by emailing [fund.reporting@lemanik.lu](mailto:fund.reporting@lemanik.lu).

## What are the risks and what could I get in return?

### Risk indicator



The risk indicator assumes you keep the product for 5 years.

The risk can be significantly different if you cash in at an early stage and you may get back less.

The summary risk indicator is a guide to the level of risk of this product compared to other products. It shows how likely it is that the product will lose money because of movements in the markets or because we are not able to pay you. The essential risks of the investment fund lie in the possibility of depreciation of the securities in which the fund is invested.

### Performance scenarios

The figures shown include all the costs of the product itself, but may not include all the costs that you pay to your advisor or distributor. The figures do not take into account your personal tax situation, which may also affect how much you get back. What you will get from this product depends on future market performance. Market developments in the future are uncertain and cannot be accurately predicted.

The unfavourable, moderate, and favourable scenarios shown are illustrations using the worst, average, and best performance of the product and a suitable proxy over the last 10 years. Markets could develop very differently in the future.

<b>Recommended holding period:</b>		<b>5 years</b>		
<b>Example investment</b>		<b>EUR 10 000</b>		
		<b>If you exit after 1 year</b>	<b>If you exit after 5 years</b>	
<b>Scenarios</b>				
<b>Minimum</b>	<b>There is no minimum guaranteed return. You could lose some or all of your investment.</b>			
<b>Stress scenario</b>	<b>What you might get back after costs</b>	EUR 7 060	EUR 6 460	
	Average return each year	-29.4%	-8.4%	
<b>Unfavourable scenario</b>	<b>What you might get back after costs</b>	EUR 8 670	EUR 9 560	This type of scenario occurred for an investment in the proxy between July 2013 and July 2018.
	Average return each year	-13.3%	-0.9%	
<b>Moderate scenario</b>	<b>What you might get back after costs</b>	EUR 9 830	EUR 10 580	This type of scenario occurred for an investment in the proxy between February 2016 and February 2021.
	Average return each year	-1.7%	1.1%	
<b>Favourable scenario</b>	<b>What you might get back after costs</b>	EUR 10 680	EUR 12 320	This type of scenario occurred for an investment in the proxy between April 2017 and April 2022.
	Average return each year	6.8%	4.3%	

The stress scenario shows what you might get back in extreme market circumstances.

## What happens if FundRock Management Company S.A. is unable to pay out?

FundRock Management Company S.A. is not making any payment to you in relation to this Fund and you would still be paid in case of a default from FundRock Management Company S.A..

The Fund's assets are held with HSBC Continental Europe, Luxembourg and are segregated from the assets of other sub-funds of the Company. The assets of the Fund cannot be used to pay the debts of other sub-funds of the Company.

## What are the costs?

The person advising on or selling you this product may charge you other costs. If so, this person will provide you with information about these costs and how they affect your investment.

### Costs over time

The tables show the amounts that are taken from your investment to cover different types of costs. These amounts depend on how much you invest, how long you hold the product and how well the product does.

The amounts shown here are illustrations based on an example investment amount and different possible investment periods.

We have assumed:

- In the first year you would get back the amount that you invested (0% annual return). For the other holding periods we have assumed the product performs as shown in the moderate scenario.

- EUR 10 000 is invested

We have classified this product as 3 out of 7, which is a medium-low risk class.

This rates the potential losses from future performance at a medium-low level, and poor market conditions are unlikely to impact our capacity to pay you.

Please refer to the Prospectus for more information on the specific risks relevant to the product not included in the summary risk indicator.

This product does not include any protection from future market performance, so you could lose some or all of your investment. If we are not able to pay you what is owed, you could lose your entire investment.

Investment of EUR 10 000	If you exit after 1 year	If you exit after 5 years
Total costs	EUR 884	EUR 2 841
Annual cost impact (*)	8.8%	4.9%

(\*) This illustrates how costs reduce your return each year over the holding period. For example it shows that if you exit at the recommended holding period your average return per year is projected to be 6.0% before costs and 1.1% after costs.

#### Composition of costs

One-off costs upon entry or exit		If you exit after 1 year
Entry costs	Up to 5.00% of the amount you pay in when entering this Investment.	Up to EUR 500
Exit costs	We do not charge an exit fee for this product.	EUR 0
Ongoing costs taken each year		
Management fees and other administrative or operating costs	1.45% of the value of your investment per year. This is an estimate based on actual costs over the last year.	EUR 145
Transaction costs	2.39% of the value of your investment per year. This is an estimate of the costs incurred when we buy and sell the underlying investments for the product. The actual amount will vary depending on how much we buy and sell.	EUR 239
Incidental costs taken under specific conditions		
Performance fees	There is no performance fee for this product.	EUR 0

## How long should I hold it and can I take my money out early?

### Recommended holding period (RHP): 5 years.

The RHP was chosen to provide a consistent return less dependent on market fluctuations.

Applications must be received by the Registrar and Transfer Agent no later than 5 p.m. (Luxembourg time) on the Business Day prior to the Valuation Day in order to be dealt with on the basis of the Net Asset Value per Share calculated on that Valuation Day. Any applications received after the applicable deadline will be deemed to be received on the next Business Day.

## How can I complain?

In the event a natural or legal person wishes to file a complaint with the Fund in order to recognize a right or to redress a harm, the complainant should address a written request that contains description of the issue and the details at the origin of the complaint, either by email or by post, in an official language of their home country to the following address:

Lemanik Asset Management S.A.,  
106 Route d'Arlon,  
L-8210 Mamer,  
Luxembourg  
complaintshandling@lemanik.lu

## Other relevant information

Further information about the Company including the prospectus, most recent financial statements, latest prices of shares are available free of charge on [www.fundsquare.net](http://www.fundsquare.net) or at the registered office of the product manufacturer.

The past performance and the previous performance scenarios are available at the registered office of the product manufacturer.

As the share does not yet have performance data for one complete calendar year, there is insufficient data to provide a useful indication of past performance to investors.